

04-06-07

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33985 U.S. PTO

EL 465781823

Please type a plus sign (+) inside this box → ☒PTO/SB/05 (4/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket No.	MI22-1684US
	First Inventor or Application Identifier	David R. Hembree
	Title	"Methods of Processing Wafers and Methods of .....
	Express Mail Label No.	EL465781823US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages <u>39</u> ] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>6</u> ] 4. Oath or Declaration [Total Pages <u>2</u> ] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	<b>ACCOMPANYING APPLICATION PARTS</b> 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input checked="" type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 13. <input type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application (PTO/SB/09-12) Status still proper and desired 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input checked="" type="checkbox"/> Other: <u>Check for \$1,000.00 934.00</u>

\* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/137,629  
 Prior application information: Examiner V. Nguyen Group / Art Unit: 2858

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 021567 or ☐ Correspondence address below  
 (Insert Customer No. or Attach bar code label here)

Name					
Address					
City	State	Zip Code			
Country	Telephone	Fax			

Name (Print/Type)	James D. Shaurette	Registration No. (Attorney/Agent)	39,833
Signature	<i>[Signature]</i>	Date	4/2/01

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04/04/01  
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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$). 934.00

## Complete if Known

Application Number	09/137,629 (Priority)
Filing Date	August 21, 1998 (Priority)
First Named Inventor	David R. Hembree
Examiner Name	D. Nguyen (Priority)
Group / Art Unit	2858 (Priority)
Attorney Docket No.	MI22-1684

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 23-0925

Deposit Account Name: Wells, St. John, Roberts, Gregory & Matkin

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

1. BASIC FILING FEE					
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
101 690	201 345	Utility filing fee	710.00		
106 310	206 155	Design filing fee			
107 480	207 240	Plant filing fee			
108 690	208 345	Reissue filing fee			
114 150	214 75	Provisional filing fee			
SUBTOTAL (1)			(\$)	710.00	

2. EXTRA CLAIM FEES

Total Claims: 28 - 20\*\* = 8 x 18 = 144

Independent Claims: 4 - 3\*\* = 1 x 80 = 80

Multiple Dependent: = 0

\*\*or number previously paid, if greater, For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 78	202 39	Independent claims in excess of 3	
104 260	204 130	Multiple dependent claim, if not paid	
109 78	209 39	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(\$)

224.00

## FEE CALCULATION (continued)

3. ADDITIONAL FEES					
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
105 130	205 65	Surcharge - late filing fee or oath	0.00		
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	0.00		
139 130	139 130	Non-English specification	0.00		
147 2,520	147 2,520	For filing a request for reexamination	0.00		
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00		
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00		
115 110	215 55	Extension for reply within first month	0.00		
116 380	216 190	Extension for reply within second month	0.00		
117 870	217 435	Extension for reply within third month	0.00		
118 1,360	218 680	Extension for reply within fourth month	0.00		
128 1,850	228 925	Extension for reply within fifth month	0.00		
119 300	219 150	Notice of Appeal	0.00		
120 300	220 150	Filing a brief in support of an appeal	0.00		
121 260	221 130	Request for oral hearing	0.00		
138 1,510	138 1,510	Petition to institute a public use proceeding	0.00		
140 110	240 55	Petition to revive - unavoidable	0.00		
141 1,210	241 605	Petition to revive - unintentional	0.00		
142 1,210	242 605	Utility issue fee (or reissue)	0.00		
143 430	243 215	Design issue fee	0.00		
144 580	244 290	Plant issue fee	0.00		
122 130	122 130	Petitions to the Commissioner	0.00		
123 50	123 50	Petitions related to provisional applications	0.00		
126 240	126 240	Submission of Information Disclosure Stmt	0.00		
581 40	581 40	Recording each patent assignment per property (times number of properties)	0.00		
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00		
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00		
Other fee (specify)			0.00		
Other fee (specify)			0.00		
SUBTOTAL (3)			(\$)	0.00	

\* Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Name (Print/Type)	James D. Shaurette	Registration No. (Attorney/Agent)	39,833	Telephone	509-624-4276
Signature		Date	4/2/01		

## WARNING:

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